

## Possible Contingency Scenarios where Support from HKICL is required amid the COVID-19 Pandemic – Online systems

Online Systems	Contingency Scenario	Support to be provided by HKICL	Actions to be taken by Members
CHATS	Unable to access eMBT due to contamination of Members' premises	LTS	Members shall promptly notify HKICL to activate the use of LTS terminals. Members shall refer to the Local Terminal Service Activation Procedures for details.
	Unable to access eMBT due to contamination of Members' premises	iMBT	Members shall promptly notify HKICL to activate the use of iMBT. Members are advised to register the DNs of eMBT users and keep their SSL client certificates up-to-date via Maintain User Account function of eMBT. Members shall refer to the Operating Procedures for CHATS - eMBT/iMBT User Manual for Members (Part I) and MBT AIDG for InterAct STP and WebAccess Service for details.
	Unable to access SWIFT due to failure of Member's connection to SWIFT	Special Posting via: - FTS - Web Portal - PC Media	<p><b>For Special Posting via FTS</b></p> <p>Members shall notify HKICL before <b>17:45 hours</b> (for HKD, USD &amp; Euro) and <b>03:45 hours of the next calendar day</b> (for RMB CHATS) for normal working days, and before <b>19:45 hours</b> (for RMB CHATS) for operating Saturdays and Sundays.</p> <p><b>For Special Posting via Web Portal</b></p> <p>FTS Members shall notify HKICL before <b>17:45 hours</b> (for HKD, USD &amp; Euro) and <b>03:45 hours of the next calendar day</b> (for RMB CHATS) for normal working days, and before <b>19:45 hours</b> (for RMB CHATS) for operating Saturdays and Sundays.</p> <p>Non-FTS Members who have <u>not</u> subscribed to FTS shall notify HKICL before <b>17:00 hours</b> (for HKD, USD &amp; Euro) and <b>03:00 hours of the next calendar day</b> (for RMB CHATS) for normal working days, and before <b>19:00 hours</b> (for RMB CHATS) for operating Saturdays and Sundays.</p> <p><b>For Special Posting via PC Media</b></p> <p>Members shall notify HKICL before <b>17:00 hours</b> (for HKD, USD &amp; Euro) and <b>03:00 hours of the next calendar day</b> (for RMB CHATS) for normal working days, and before <b>19:00 hours</b> (for RMB CHATS) for operating Saturdays and Sundays.</p> <p>Please note that Special Posting via PC media is subject to the health control of the Government, and that the verification and confirmation on posting of submissions from non-FTS Members via the Web Portal of HKICL will only be conducted via email.</p> <p>Members shall refer to the Operating Procedures for CHATS Special Posting for details.</p>

Online Systems	Contingency Scenario	Support to be provided by HKICL	Actions to be taken by Members
CHATS	Unable to effect critical payments over CHATS in time due to Member's internal problem, which is reasonably expected that its access to CHATS cannot be resumed in time for effecting its payments	Extension of CHATS Cutoff, if critically needed, after informed by the HKMA, USD SI, Euro SI, RMB CB for respective CHATS	<p>Members shall promptly alert the HKMA, USD SI, Euro SI, RMB CB or HKICL <b>as soon as practicable*</b> whenever potential extension of CHATS cutoff is required so that the HKMA can evaluate the impact of the incident and assess whether a delay in CHATS cut-off is warranted after taking into considering a host of factors such as impact on financial stability, potential knock-on effects and convenience to users of financial services, etc.</p> <p><b>*Members shall promptly notify the HKMA, USD SI, Euro SI, RMB CB or HKICL as appropriate <b>before 17:30 hours</b> whenever extension of CHATS cutoff is required.</b></p> <p><b>Remark:</b> The HKMA would like to reiterate the importance of CHATS Members having in place a robust and well tested contingency arrangement to maintain access to CHATS or resume access expediently when a system problem occurs. CHATS Members may also note that they may make use of the Special Posting Service offered by the HKICL as mentioned above to input their payments to CHATS in case of need. (Re HKD SI circular B5/12C dated 8 May 2015)</p>
CMU	Unable to access eCMT or iCMT due to contamination of Members' premises	LTS	<p>Members shall promptly notify HKICL to activate the use of LTS terminals. Members shall refer to the Local Terminal Service Activation Procedures for details.</p> <p>Members who wish to access the CMT via iCMT shall contact the HKMA CMU in advance for the setup arrangement. Members are also reminded to ensure that all machines to be used for contingency purpose are configured for remote access to iCMT via the Internet (e.g. import certificate etc.).</p>
HKTR	Unable to access HKTR due to contamination of Members' premises	LTS	<p>Members shall promptly notify HKICL to activate the use of LTS terminals. Members shall refer to the Local Terminal Service Activation Procedures for details.</p> <p>Members who wish to access HKTR via the Internet shall contact the HKMA TR in advance for the setup arrangement. Members are also reminded to ensure that all machines to be used for contingency purpose are configured for remote access to TR via the Internet (e.g. import certificate etc.).</p>
FPS	Unable to use FPS console due to contamination of Members' premises	FPS console via internet	Members shall promptly notify HKICL to activate the use of FPS console via the Internet. Members are also reminded to ensure that all machines to be used for contingency purpose are configured for remote access to FPS console via the Internet (e.g. import certificate etc.).

## Remarks:

Members, Users and Participants who need to enter into HKICL office premises should have received COVID-19 vaccination and tested negative using rapid test kit on the date of visit.